

02/22/02

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Jc657 U.S. PTO

As modified by Blakely, Sokoloff, Taylor &amp; Zafman LLP

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 5914P001X

(maximum 12 characters)

First Named Inventor Steve YankovichTitle: Method And Apparatus For Programmatic Learned Routing In An Electronic Form SystemExpress Mail Label No. EL 617 207 221 USJc979 U.S. PTO  
10/082533  
02/22/02

ADDRESS TO: **Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, D. C. 20231**

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. xx **Fee Transmittal Form (e.g., PTO/SB/17)**  
(Submit an original and a duplicate for fee processing)
2. xx **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. xx **Specification (Total Pages 38, including cover page and abstract)**  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table,  
or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. xx **Drawings(s) (35 USC 113) (Total Sheets 20 sheets of 21 figures)**
5. xx **Oath or Declaration (Total Pages 5)**
  - a.        Newly Executed (Original or Copy)
  - b.        Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 18 completed)
    - i.        DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
  - c. x Unsigned.
6.        **Application Data Sheet. (37 CFR 1.76)**
7.        CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.        Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.        Computer Readable Form (CRF)
  - b.        Specification Sequence Listing on:
    - i.        CD-ROM or CD-R (2 copies); or
    - ii.        paper
  - c.        Statement verifying identity of above copies

02/15/02

- 1 -

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# ACCOMPANYING APPLICATION PARTS

9. \_\_\_\_\_ Assignment Papers (cover sheet & documents(s))
10. \_\_\_\_\_ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
- \_\_\_\_\_ b. Power of Attorney
11. \_\_\_\_\_ English Translation Document (if applicable)
12. \_\_\_\_\_ a. Information Disclosure Statement (IDS)/PTO-1449
- \_\_\_\_\_ b. Copies of IDS Citations
13. \_\_\_\_\_ Preliminary Amendment
14. xx Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. \_\_\_\_\_ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. xx Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- 17A. xx Other: Two checks in the amounts of \$370.00 and \$42.00

17B. X Pursuant to 37 C.F.R. 1.136(a)(3), applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 C.F.R. 1.16 and 1.17, to Deposit Account No. 02-2666.

18A. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet Under 37 C.F.R. 1.76:

\_\_\_\_\_ Continuation \_\_\_\_\_ Divisional xx Continuation-in-part (CIP)  
 Of Prior Application No.: 09/281,147 Examiner \_\_\_\_\_ Group Art Unit 2776  
 (which is a \_\_\_\_\_ continuation/ \_\_\_\_\_ divisional/ \_\_\_\_\_ CIP of prior application no. \_\_\_\_\_,  
 which is a \_\_\_\_\_ continuation/ \_\_\_\_\_ divisional/ \_\_\_\_\_ CIP of prior application no. \_\_\_\_\_) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.  
 For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:  
 The undersigned states that \_\_\_\_\_ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. \_\_\_\_\_ Frame No. \_\_\_\_\_ (or a copy of which is attached).

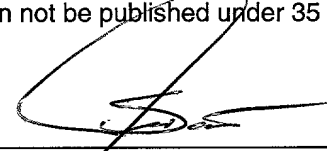
19. Correspondence Address  
 \_\_\_\_\_ Customer Number or Bar Code Label \_\_\_\_\_  
 or \_\_\_\_\_ (Insert Customer No. or Attach Bar Code Label here)  
X Correspondence Address Below  
 NAME James H. Salter  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
 ADDRESS 12400 Wilshire Boulevard  
Seventh Floor  
 CITY Los Angeles STATE California ZIP CODE 90025-1026  
 Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-8383

Name (PRINT/TYPE): James H. Salter Registration No.: 35,668  
 Signature: \_\_\_\_\_ Date: 2/22/02

**REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)**First Named Inventor Steve YankovichTitle Method And Apparatus For Programmatic Learned Routing In An Electronic Form SystemAttorney Docket No. 5914P001X

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

2/22/02  
Date

  
Signature

James H. Salter

Typed or Printed Name

35,668

Registration No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months after the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Send to: Assistant Commissioner for Patents, Washington, D.C. 20231

**412.00**

Application No.	Unassigned
Filing Date	February 22, 2002
First Named Inventor	Steve Yankovich
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket No.	5914P001X

2.	<u>  X  </u>	Payment Enclosed	3.	<input checked="" type="checkbox"/> [ xx ]	Applicant claims small entity.
	<u>  X  </u>	Two checks in the amounts of \$370.00 and \$42.00			See CFR 1.27
	<u>      </u>	Money Order			
	<u>      </u>	Other			

<u>Large Entity</u>		<u>Small Entity</u>			
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)	<u>Fee Description</u>	<u>Fee Paid</u>
101	740	201	370	Utility application filing fee	<u>370.00</u>
106	330	206	165	Design application filing fee	<u>          </u>
107	510	207	255	Plant filing fee	<u>          </u>
108	740	208	370	Reissue filing fee	<u>          </u>
114	160	214	80	Provisional application filing fee	<u>          </u>
				<b>SUBTOTAL (1)</b>	<b>\$ 370.00</b>

<b>2. CLAIMS</b>					<b>Fee from</b>	
				<u><b>Extra</b></u>	<u><b>below</b></u>	<u><b>Fee Paid</b></u>
<b>Total Claims</b>	<u>8</u>	<b>- 20 =</b>	<u>0</u>	X	<u>0</u>	<u>0</u>
<b>Independent Claims</b>	<u>4</u>	<b>- 3 =</b>	<u>1</u>	X	<u>42.00</u>	<u>42.00</u>
<b>Multiple Dependent Claims</b>			<u>0</u>	X	<u>0</u>	<u>0</u>

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## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	400	216	200	Extension for response within second month	
117	920	217	460	Extension for response within third month	
118	1,440	218	720	Extension for response within fourth month	
128	1,960	228	980	Extension for response within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,280	241	640	Petition to revive unintentionally abandoned application	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	For filing a submission after final rejection	
149	740	249	370	For each additional invention to be examined	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
195	300	195	300	Publication fee for early, voluntary, or normal publication	
196	300	196	300	Publication fee for republication	
194	130	194	130	Request for voluntary publication or republication	
098	130	098	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
091	1,280	091	1,280	Acceptance of unintentionally delayed claim for priority	

Other: \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$ 0

## SUBMITTED BY:

Typed or Printed Name: James H. Salter

Signature

Date

2/22/02

Reg. Number

35,668

Customer Number

008791

(complete if applicable)

## EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL 617 207 221 US

Date of Deposit: February 22, 2002

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Claire Wallters

Name of Person Mailing Paper or Fee

Signature of Person Mailing Paper or Fee

Date Signed

2/22/2002

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2/22/02  
 Date

  
 Signature
James H. Salter

Typed or Printed Name

35.668

Registration No.

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Send to: Assistant Commissioner for Patents, Washington, D.C. 20231